From: Troy Baer
To: Microsoft ATR
Date: 1/23/02 2:33pm
Subject: Microsoft Settlement

Dear Judge Kollar-Kotelly:

I feel it is my responsibility as an American to object in the strongest possible terms to the proposed antitrust settlement between Microsoft and the U.S. Department of Justice. The proposed settlement does little to punish Microsoft for past wrongdoings or to curb future antitrust violations.

The settlement includes a number of loopholes which Microsoft can exploit to hamper competitors. Probably the worst of these from my perspective is Section III, Subsection J:

## <blookquote>

- J. No provision of this Final Judgment shall:
- 1.Require Microsoft to document, disclose or license to third parties: (a) portions of APIs or Documentation or portions or layers of Communications Protocols the disclosure of which would compromise the security of a particular installation or group of installations of anti-piracy, anti-virus, software licensing, digital rights management, encryption or authentication systems, including without limitation, keys, authorization tokens or enforcement criteria; or (b) any API, interface or other information related to any Microsoft product if lawfully directed not to do so by a governmental agency of competent jurisdiction.
- 2. Prevent Microsoft from conditioning any license of any API, Documentation or Communications Protocol related to anti-piracy systems, anti-virus technologies, license enforcement mechanisms, authentication/authorization security, or third party intellectual property protection mechanisms of any Microsoft product to any person or entity on the requirement that the licensee: (a) has no history of software counterfeiting or piracy or willful violation of intellectual property rights, (b) has a reasonable business need for the API, Documentation or Communications Protocol for a planned or shipping product, (c) meets reasonable, objective standards established by Microsoft for certifying the authenticity and viability of its business, (d) agrees to submit, at its own expense, any computer program using such APIs, Documentation or Communication Protocols to third-party verification, approved by Microsoft, to test for and ensure verification and compliance with Microsoft specifications for use of the API or interface, which specifications shall be related to proper operation and integrity of the systems and mechanisms identified in this paragraph.

</blockquote>

This is a "get out of jail free" card for Microsoft as far as API and

protocol disclosure goes. By not disclosing how the security and authentication portions of Microsoft's APIs and protocols work, Microsoft is trying to hamper interoperability with their authentication methods (including Microsoft Passport as well as their bastardization of the standard Kerberos authetication library from MIT) from being implemented on other platforms such as UNIX and Linux. Furthermore, it gives them "carte blanche" to deny documentation on \*any\* API or protocol, simply by claiming it's related to security or authentication. Even worse is the fact that trying to keep something secure by not describing how it works (a technique known as "security through obscurity" in the computer/network security community) is well known as unworkable with software as widely used as Microsoft's products.

For an example of why this is a problem, consider the Samba project (http://www.samba.org/). This is a worldwide cooperative (and largely volunteer) open source effort to independently implement Microsoft's file and printer sharing protocols for UNIX and Linux systems. Under the proposed settlement, Microsoft could deny the Samba developers access to Microsoft's authentication protocol documentation because doing so could potentially compromise the security of their software. This may not be what the authors of the proposed settlement intended, but I would not be surprised to see it used in such a way.

Also conspicuously absent from the proposed settlement is any mention of a mechanism by which Microsoft would be punished if they are found to be in violation of any part of the settlement. It would appear that any violations must be tried once again in court. I would submit that specification of a large fine (eg. \$1 million per day of noncompliance) would act as a significant deterent to further antitrust abuses.

I hope that you will take these comments into consideration before enacting a final judgment in the Microsoft antitrust case. You will find a much longer commentary on the proposed settlement at http://www.kegel.com/remedy/remedy2.html, with which I agree almost in its entirety.

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Sincerely,
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Troy Baer, MS(AAE) "My life's work has been to prompt tbaer@columbus.rr.com" others... and be forgotten."
http://home.columbus.rr.com/tbaer/ --Cyrano de Bergerac
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